



04688P002

#6

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

YAKOV KAMEN, ET AL.

Application No.: 09/784,840

Filed: February 15, 2001

For: **METHOD AND APPARATUS FOR A  
THREE-DIMENSIONAL  
WEB-NAVIGATOR**

Art Group:

Examiner:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

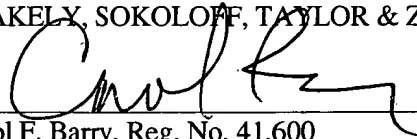
Sir:

Pursuant to Rule 1.97, Applicant desires to make of record the reference(s) set forth on the attached Form PTO 1449. A copy of each reference is submitted herewith.

It is hereby stated that this Information Disclosure Statement is being filed within three months of the filing date of the subject application, therefore no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any additional fee due to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP

Date: March 20, 2001  
Carol F. Barry, Reg. No. 41,600

## CERTIFICATE OF MAILING

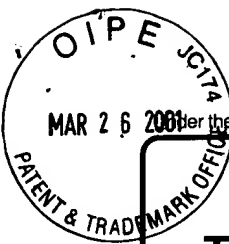
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: March 20, 2001

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (310) 207-3800

  
Nadya Gordon

03/20/01

Date



300 0420

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|   |                             |                               |           |
|---|-----------------------------|-------------------------------|-----------|
| <b>Application Number</b>                       | 09/784,840                  |                               |           |
|   | <b>Filing Date</b>          | February 15, 2001             |           |
|   | <b>First Named Inventor</b> | Yakov Kamen                   |           |
|   | <b>Group Art Unit</b>       |                               |           |
|   | <b>Examiner Name</b>        |                               |           |
| <b>Total Number of Pages in This Submission</b> | 6                           | <b>Attorney Docket Number</b> | 04688P002 |

## ENCLOSURES (check all that apply)

|  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div>Form PTO-1449<br/>Copy of 1 Reference<br/>Return Postcard</div> |
| <b>Remarks</b>   |  |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

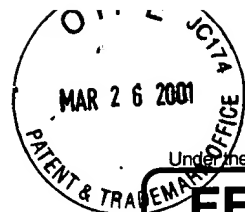
|                                |   |
|--------------------------------|---|
| <b>Firm or Individual name</b> | Carol F. Barry, Reg. No. 41,600<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN |
| <b>Signature</b>               |   |
| <b>Date</b>                    | March 20, 2001  |

## CERTIFICATE OF MAILING

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|                              |              |             |          |
|------------------------------|--------------|-------------|----------|
| <b>Typed or printed name</b> | Nadya Gordon |             |          |
| <b>Signature</b>             |              | <b>Date</b> | 03/20/01 |

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$)**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 09/784,840          |
| Filing Date            | 02/15/01            |
| First Named Inventor   | Yakov Kamen, et al. |
| Examiner Name          |                     |
| Group Art Unit         |                     |
| Attorney Docket Number | 04688P002           |

**METHOD OF PAYMENT** (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. FILING FEE**

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|------------------------|----------|
| 101               | 710                   | 201               | 355                   | Utility filing fee     |          |
| 106               | 320                   | 206               | 160                   | Design filing fee      |          |
| 107               | 490                   | 207               | 245                   | Plant filing fee       |          |
| 108               | 710                   | 208               | 355                   | Reissue filing fee     |          |
| 114               | 150                   | 214               | 75                    | Provisional filing fee |          |

**SUBTOTAL (1)** (\$)**2. CLAIMS**

| Total Claims                 | Extra    | Fee from below | Fee Paid |
|------------------------------|----------|----------------|----------|
| Independent Claims <u>11</u> | <u>3</u> | <u>3</u>       |          |
|                              |          |                |          |
|                              |          |                |          |

Multiple Dependent Claims

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description   |
|-------------------|-----------------------|-------------------|-----------------------|---|
| 103               | 18                    | 203               | 9                     | Claims in excess of 20                                  |
| 102               | 80                    | 202               | 40                    | Independent claims in excess of 3                       |
| 104               | 270                   | 204               | 135                   | Multiple Dependent claim                                |
| 109               | 80                    | 209               | 40                    | Reissue independent claims over original patent         |
| 110               | 18                    | 210               | 9                     | Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$)

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEE**

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105               | 130                   | 205               | 65                    | Surcharge - late filing fee or oath  |          |
| 127               | 50                    | 227               | 25                    | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139               | 130                   | 139               | 130                   | Non-English specification  |          |
| 147               | 2,520                 | 147               | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112               | 920                   | 112               | 920                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113               | 1,840                 | 113               | 1,840                 | Requesting publication of SIR after Examiner action                        |          |
| 115               | 110                   | 215               | 55                    | Extension for response within first month                                  |          |
| 116               | 390                   | 216               | 195                   | Extension for response within second month                                 |          |
| 117               | 890                   | 217               | 445                   | Extension for response within third month                                  |          |
| 118               | 1,390                 | 218               | 695                   | Extension for response within fourth month                                 |          |
| 128               | 1,890                 | 228               | 945                   | Extension for response within fifth month                                  |          |
| 119               | 310                   | 219               | 155                   | Notice of Appeal   |          |
| 120               | 310                   | 220               | 155                   | Filing a brief in support of an appeal                                     |          |
| 121               | 270                   | 221               | 135                   | Request for oral hearing   |          |
| 138               | 1,510                 | 138               | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140               | 110                   | 240               | 55                    | Petition to revive - unavoidably   |          |
| 141               | 1,240                 | 241               | 620                   | Petition to revive - unintentionally                                       |          |
| 142               | 1,240                 | 242               | 620                   | Utility issue fee (or reissue)   |          |
| 143               | 440                   | 243               | 220                   | Design issue fee   |          |
| 144               | 600                   | 244               | 300                   | Plant issue fee  |          |
| 122               | 130                   | 122               | 130                   | Petitions to the Commissioner  |          |
| 123               | 50                    | 123               | 50                    | Petitions related to provisional applications                              |          |
| 126               | 180                   | 126               | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581               | 40                    | 581               | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146               | 710                   | 246               | 355                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149               | 710                   | 249               | 355                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179               | 710                   | 279               | 355                   | Request for Continued Examination (RCE)                                    |          |
| 169               | 900                   | 169               | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)** (\$)

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

|                       |                                 |                          |             |
|-----------------------|---------------------------------|--------------------------|-------------|
| Typed or Printed Name | Carol F. Barry, Reg. No. 41,600 | Complete (if applicable) | Reg. Number |
| Signature             |                                 | Date                     | 3/20/2001   |
|                       |                                 | Deposit Account User ID  | 02-2666     |

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